

# Mock data sensitivity studies

Chris Marshall

Lawrence Berkeley National Laboratory

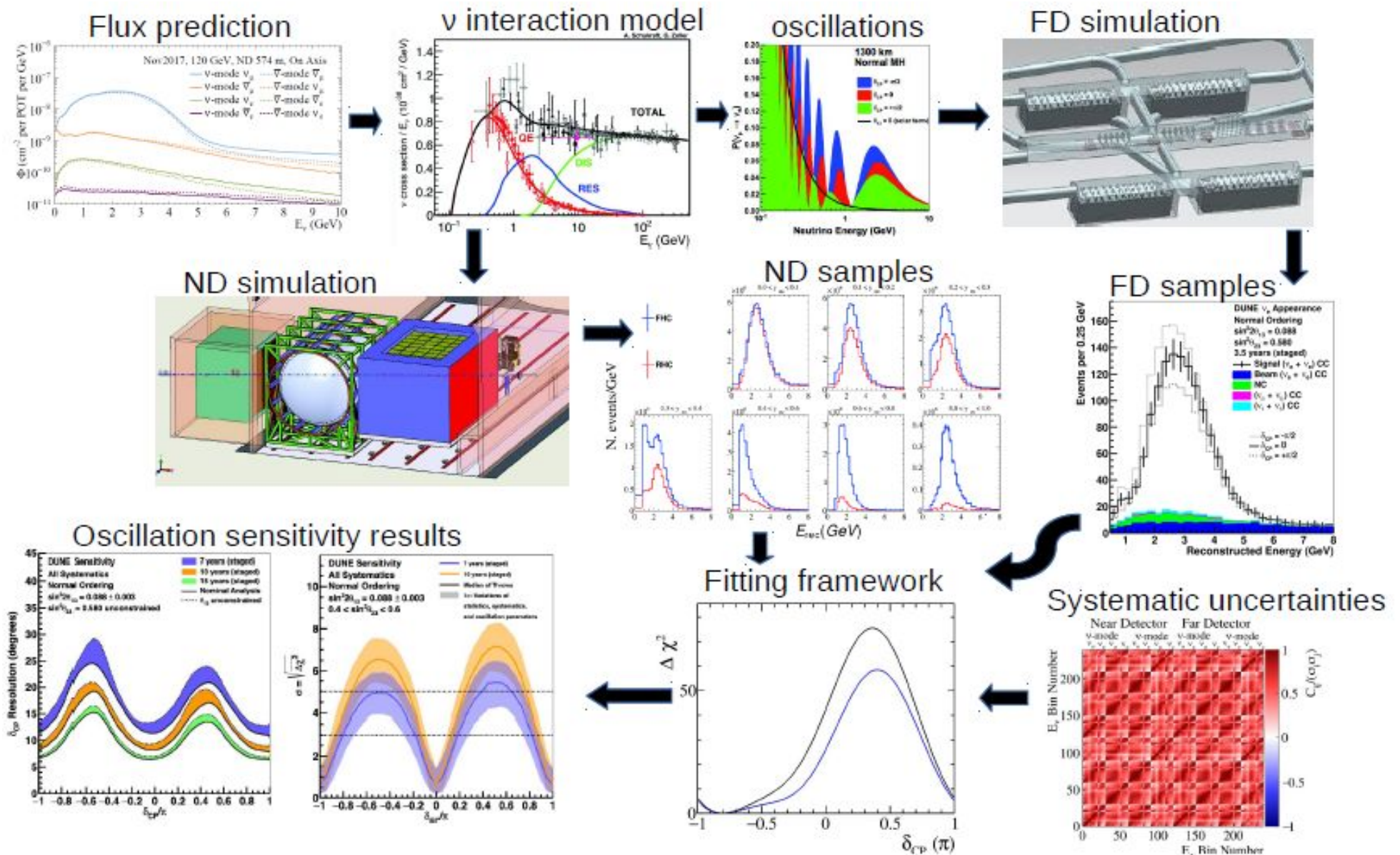
2 October, 2019



# Outline

- LBL sensitivity analysis with ND: overview
- Baked-in assumptions & limitations
- Why this is not scalable to ND TDR
- Basic idea of mock data
- Advantages of mock data over full-blown sensitivity

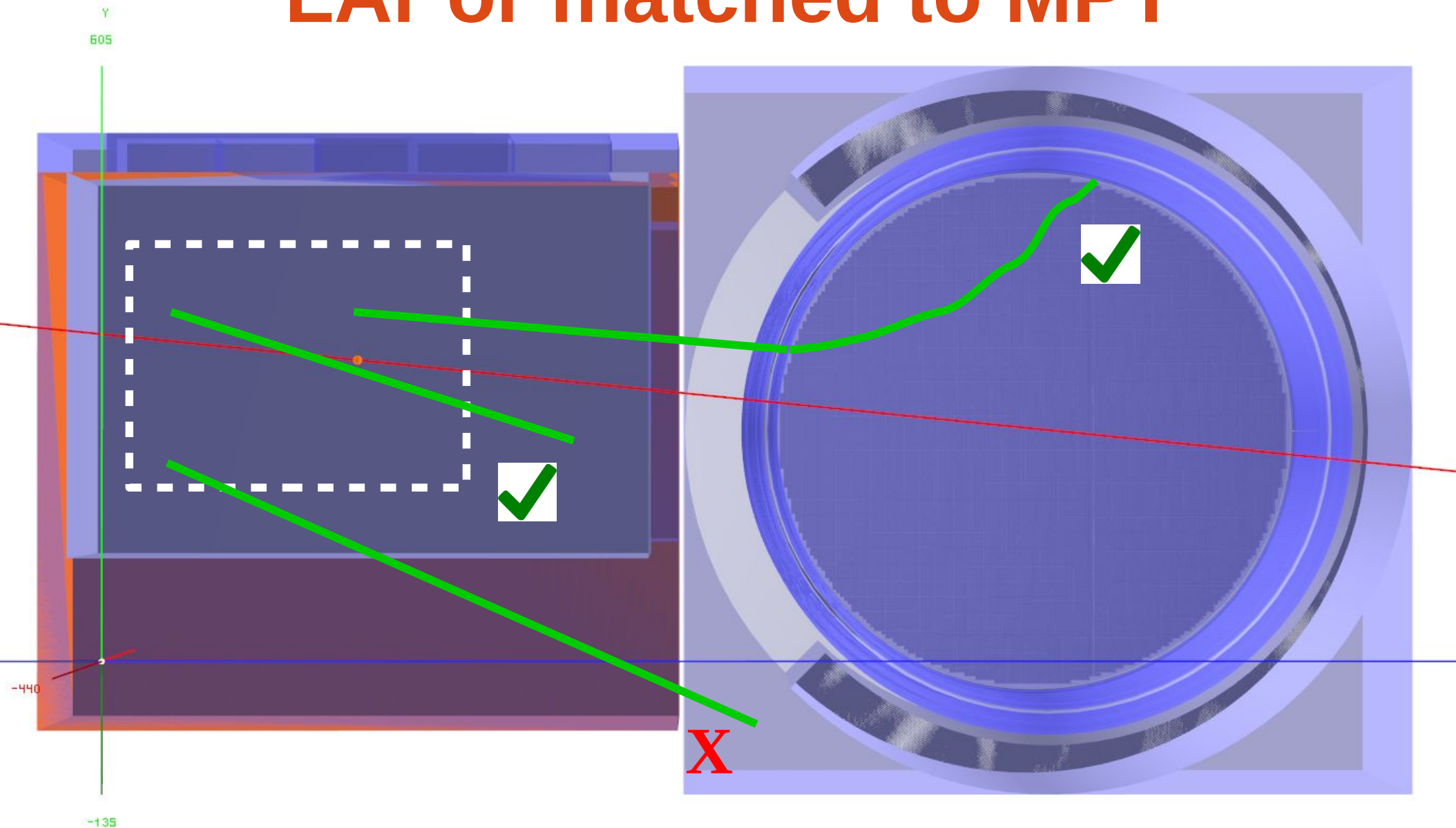
# LBL sensitivity analysis



# Near detector strategy for FD TDR analysis

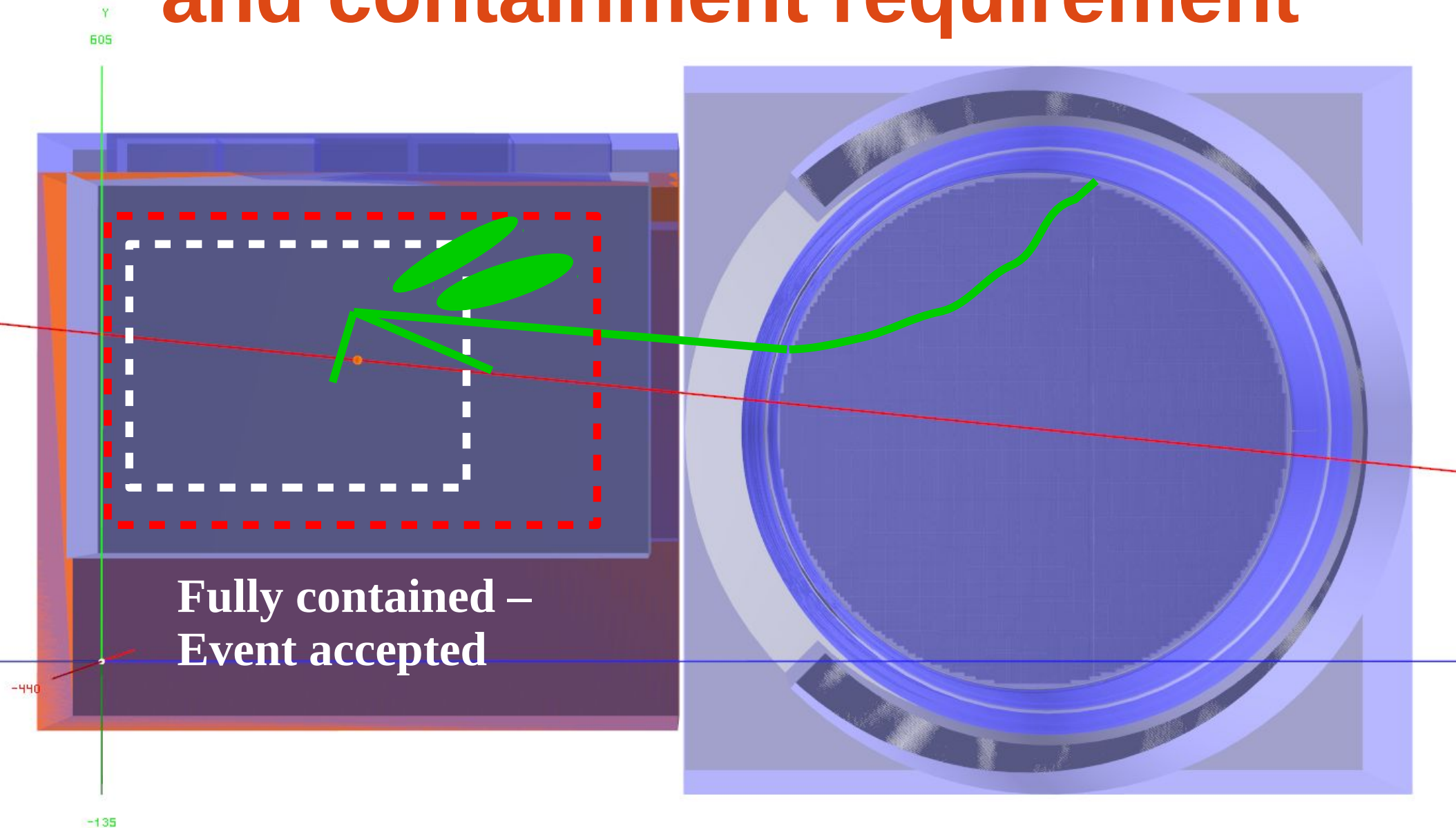
- Generate events with GENIE, with same reweighting framework used in FD
- Propagate final-state particles through detector geometry with Geant4
- Pseudo-reconstruction based in Geant4 energy deposits
- Form LAr  $\nu_\mu$  CC samples to use in analysis

# Muon acceptance: contained in LAr or matched to MPT



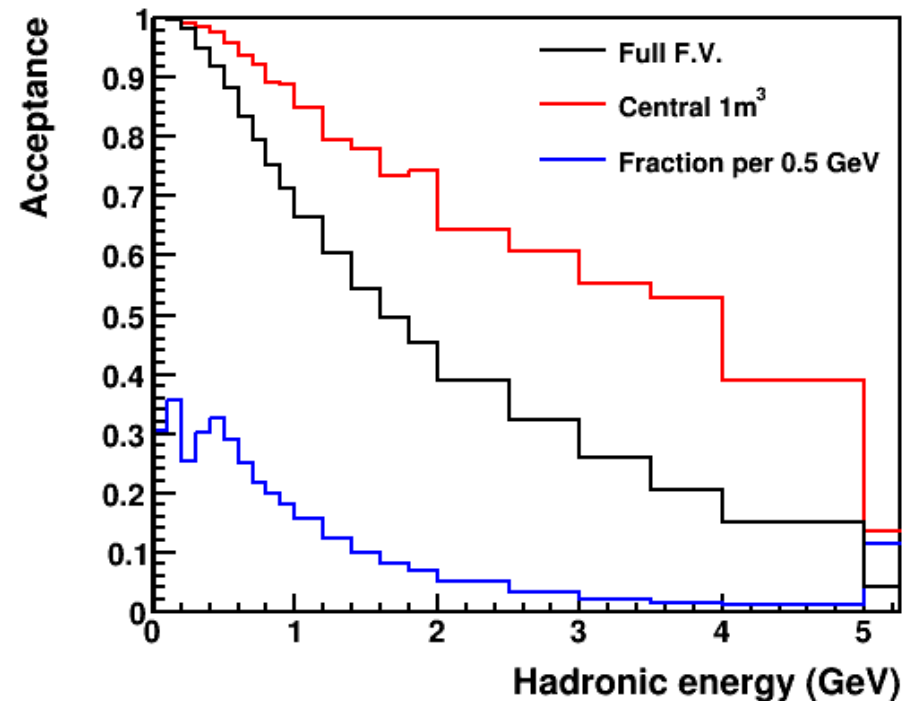
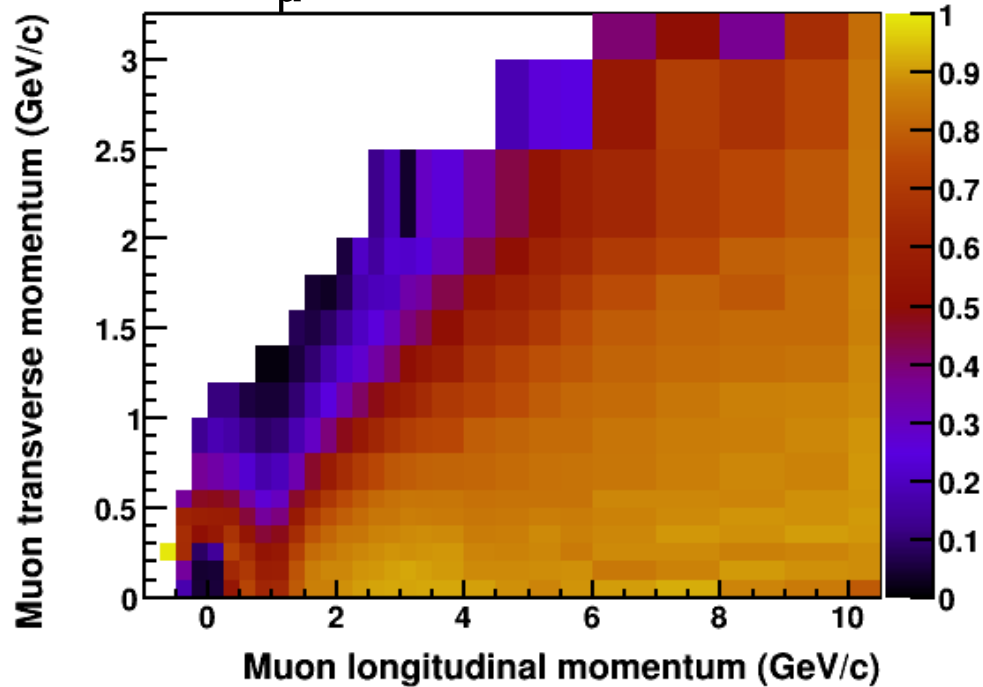


# Hadronic energy reconstruction and containment requirement



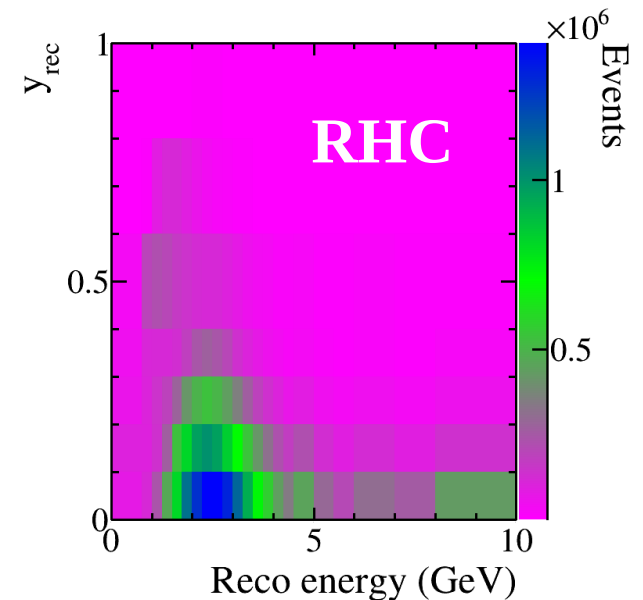
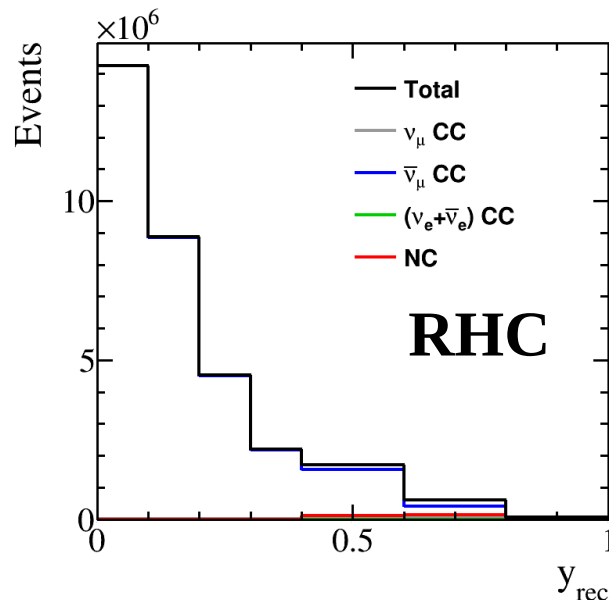
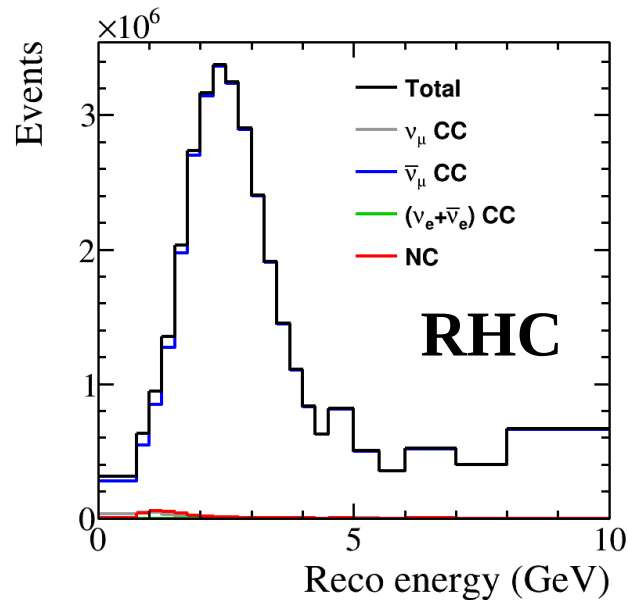
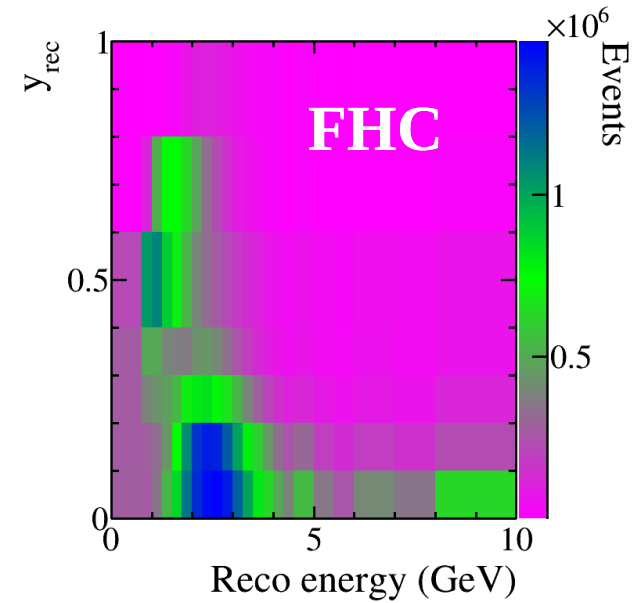
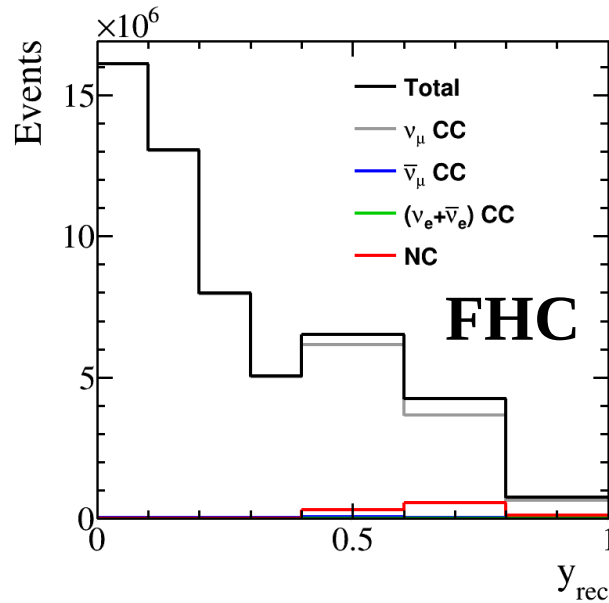
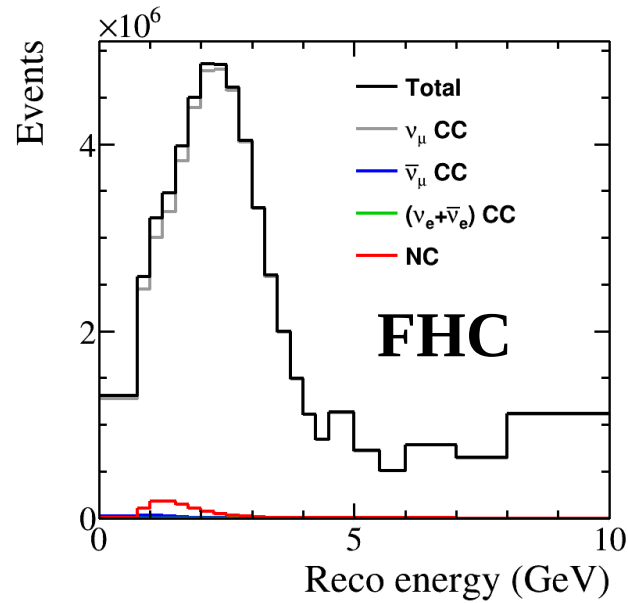
# LAr ND event acceptance

$\nu_\mu$  CC acceptance



- Left: CC acceptance vs. muon kinematics
- Right: Acceptance vs. hadronic energy – events with exiting hadrons are rejected, hence the lower efficiency at very high energy

# Selected ND analysis samples

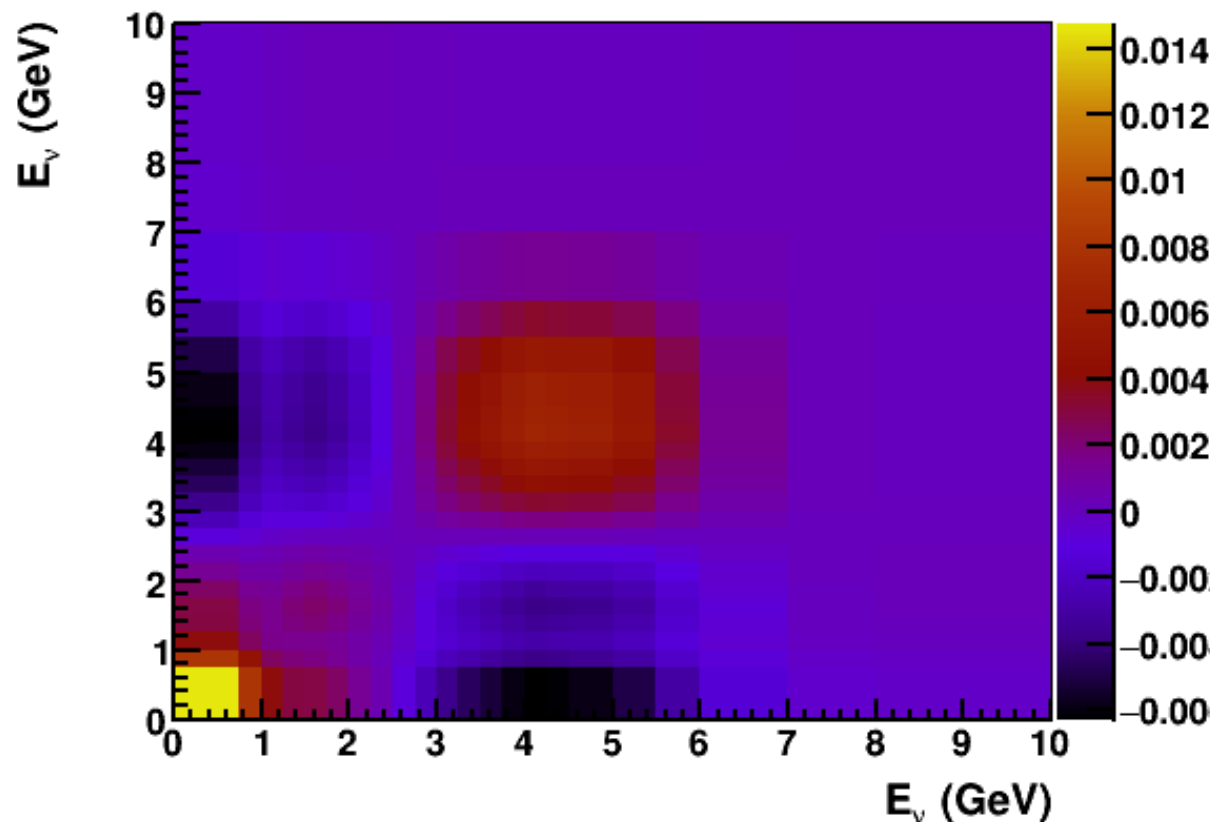




# Detector systematic uncertainties

- DUNE ND has  $O(100M)$  events  $\rightarrow$  statistical uncertainties are negligible
- Critically important to have realistic systematics, despite not having a realistic simulation, reconstruction, or event selection
- Essentially impossible to implement detector uncertainties as nuisance parameters, because they will simply be determined in the fit

# ND uncertainty implementation in covariance matrix



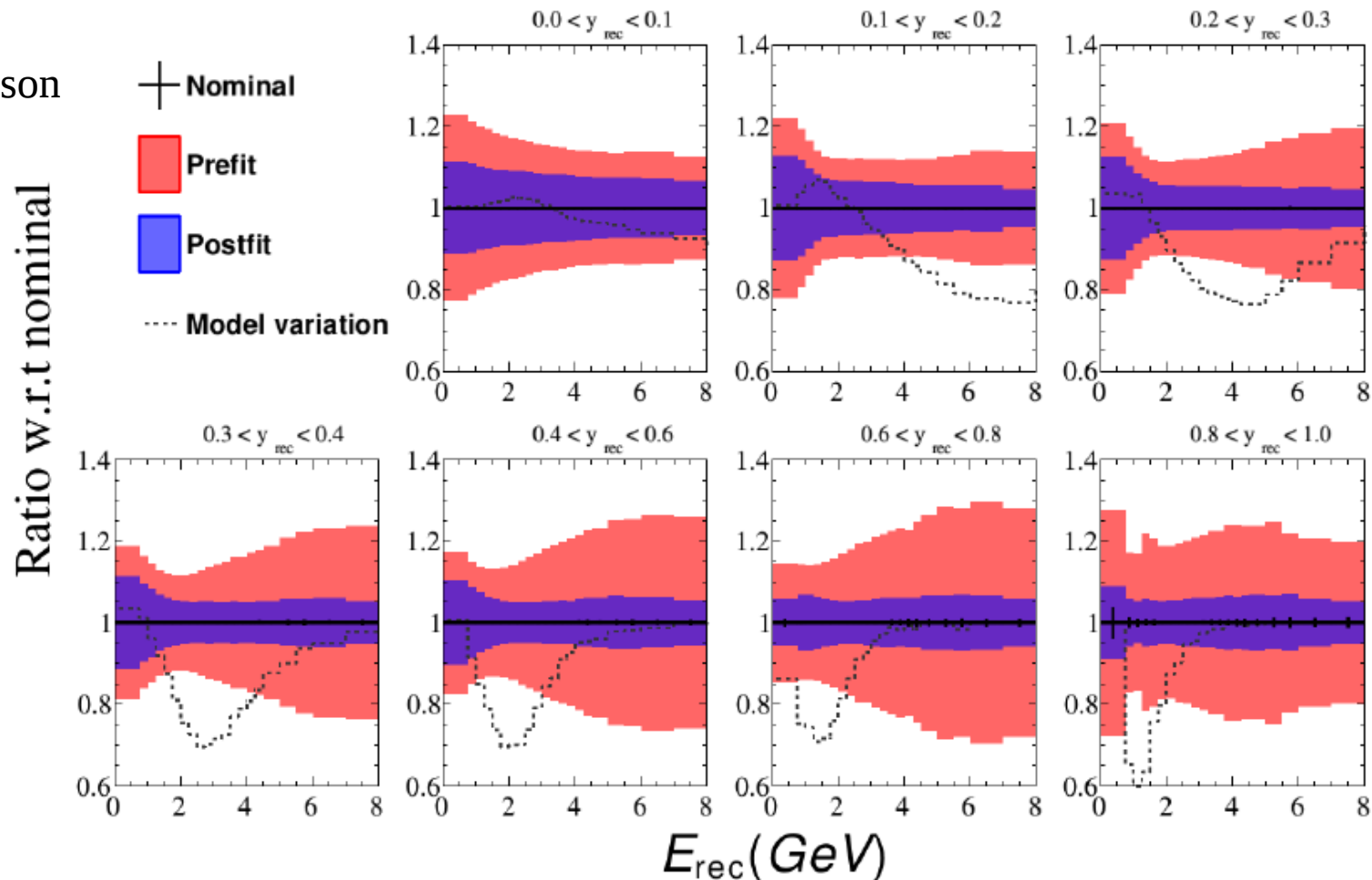
- Construct a covariance matrix in  $(E_\nu, y)$  from the ND uncertainties with many universes approach
- Equivalent to including nuisance parameters in fit, but prevents these parameters from being further constrained by the ND data

# Drawbacks

- Uses a single ND sample – not practical to directly implement dozens of possible selected samples in LAr, GAr, 3DST
- With covariance matrix you lose access to parameter constraints – difficult to show how the ND is constraining uncertainties
- Implicitly assumes that interaction and detector models are correct and describe the data, up to the included uncertainties
- Uncertainties only impact FD when there is degeneracy – i.e. two parameters that have the same effect on the ND – which never happens when you include enough bins with no statistical uncertainty

# Example: MK single pion

C. Wilkinson



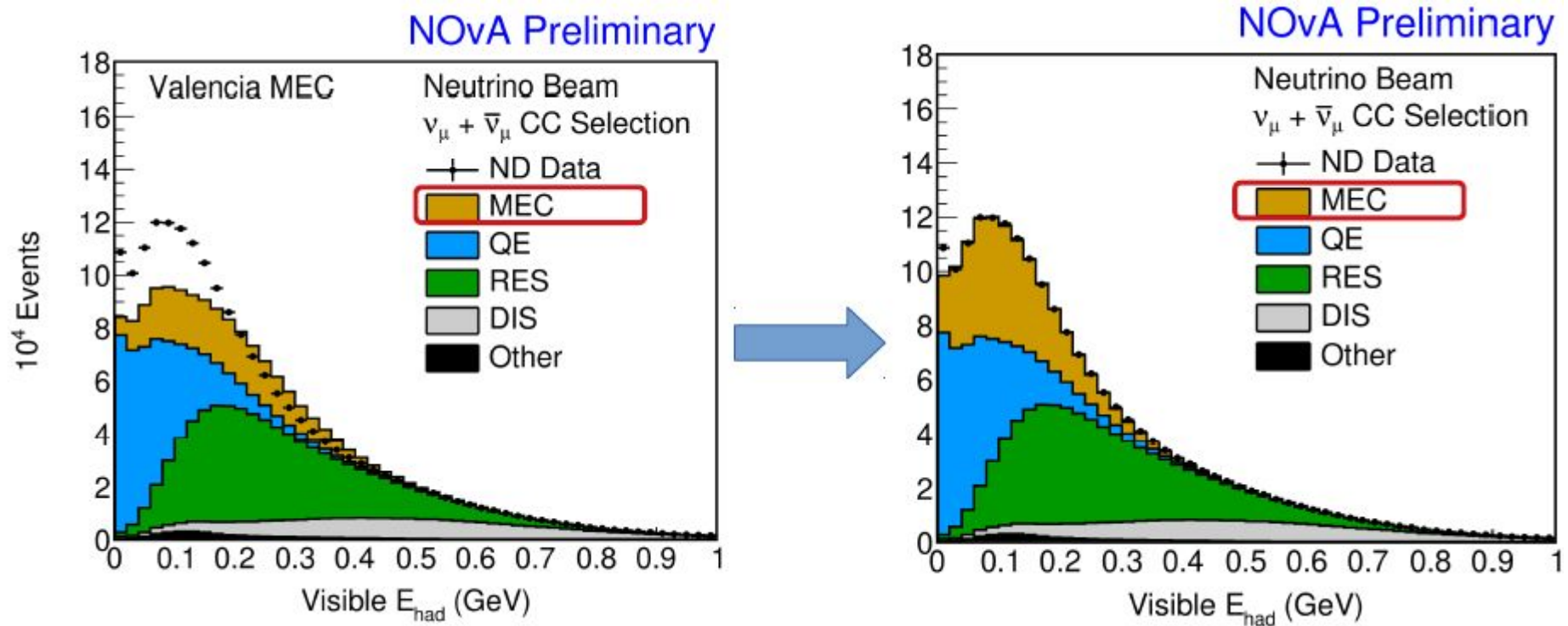
- Easy to see why this on/off dial (MK SPP reweight) is simply resolved by the ND... it simply knows whether it's on or off.

# ND TDR analysis goals

- For ND TDR, we want to demonstrate how the various components of the ND each constrain specific uncertainties
- To do this, we need to add additional analysis samples, including selected events in the HPgTPC and 3DST
- Covariance matrix for detector uncertainties does not scale well
- Re-implementing detector parameters in the fit will cause them to be overconstrained → requires many, many more parameters → much longer fit time (already took ~37M CPU hours)



# How it works in experiments



- ND data will **not** be described by our model
- We will modify our model to describe the ND data in many different projections, and add systematic uncertainties for the many different ways this can be done

# Basic idea of mock data studies

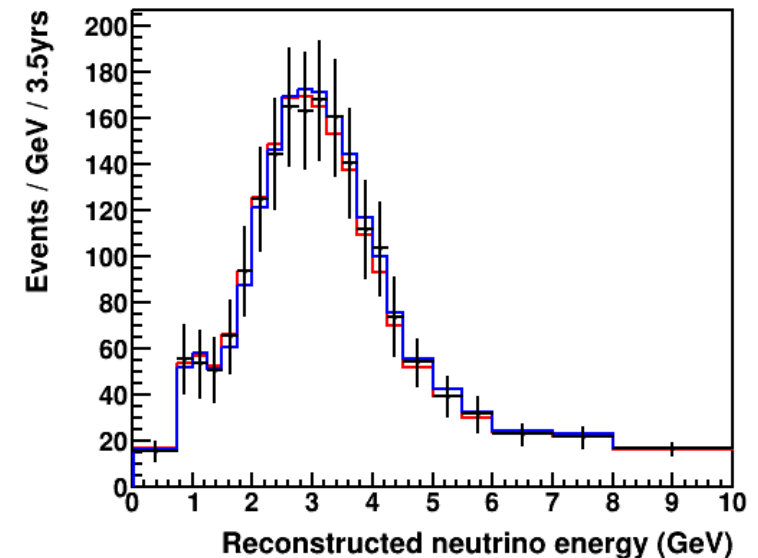
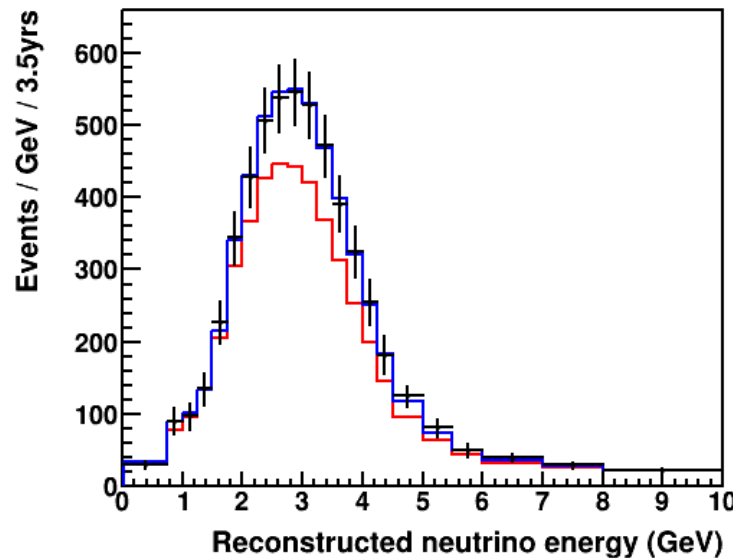
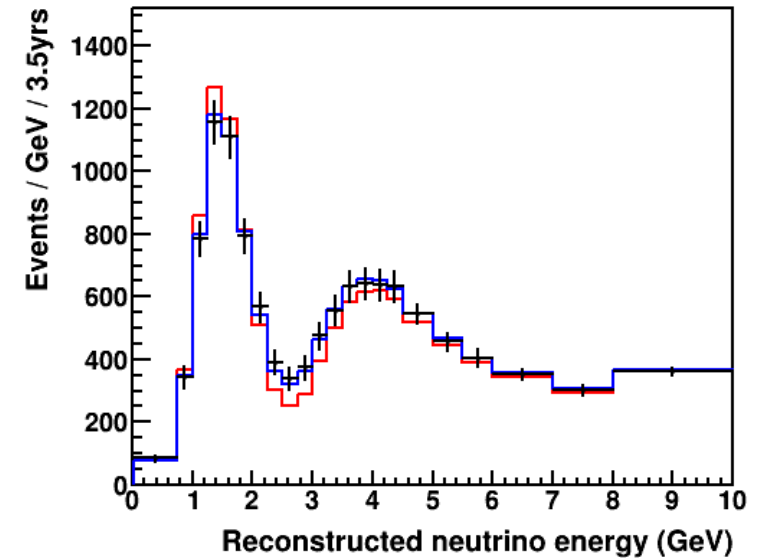
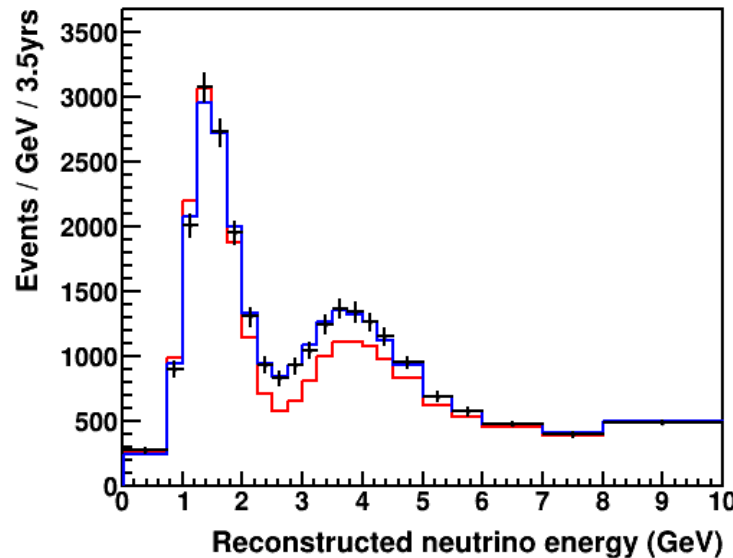
- Use an alternate model (i.e. not constructed by varying uncertainty parameters of reference model) to generate the “data” for ND and FD
- Determine the impact on oscillation parameters by fitting with FD only (or possibly FD + a limited ND)
- Demonstrate how a particular near detector sample clearly discriminates between the alternate and reference model

# Example: NuWro mock data

- Use Cris Vilela's reweighting tool to produce a mock data sample based on NuWro
- Fit with FD only, to show bias that could be expected in an experiment without a near detector
- Fit with ND+FD to show that reference model is very strongly disfavored

# FD-only fits

- FD-only we get very good fit, with  $\chi^2 \sim 10$
- No evidence of any problems with model

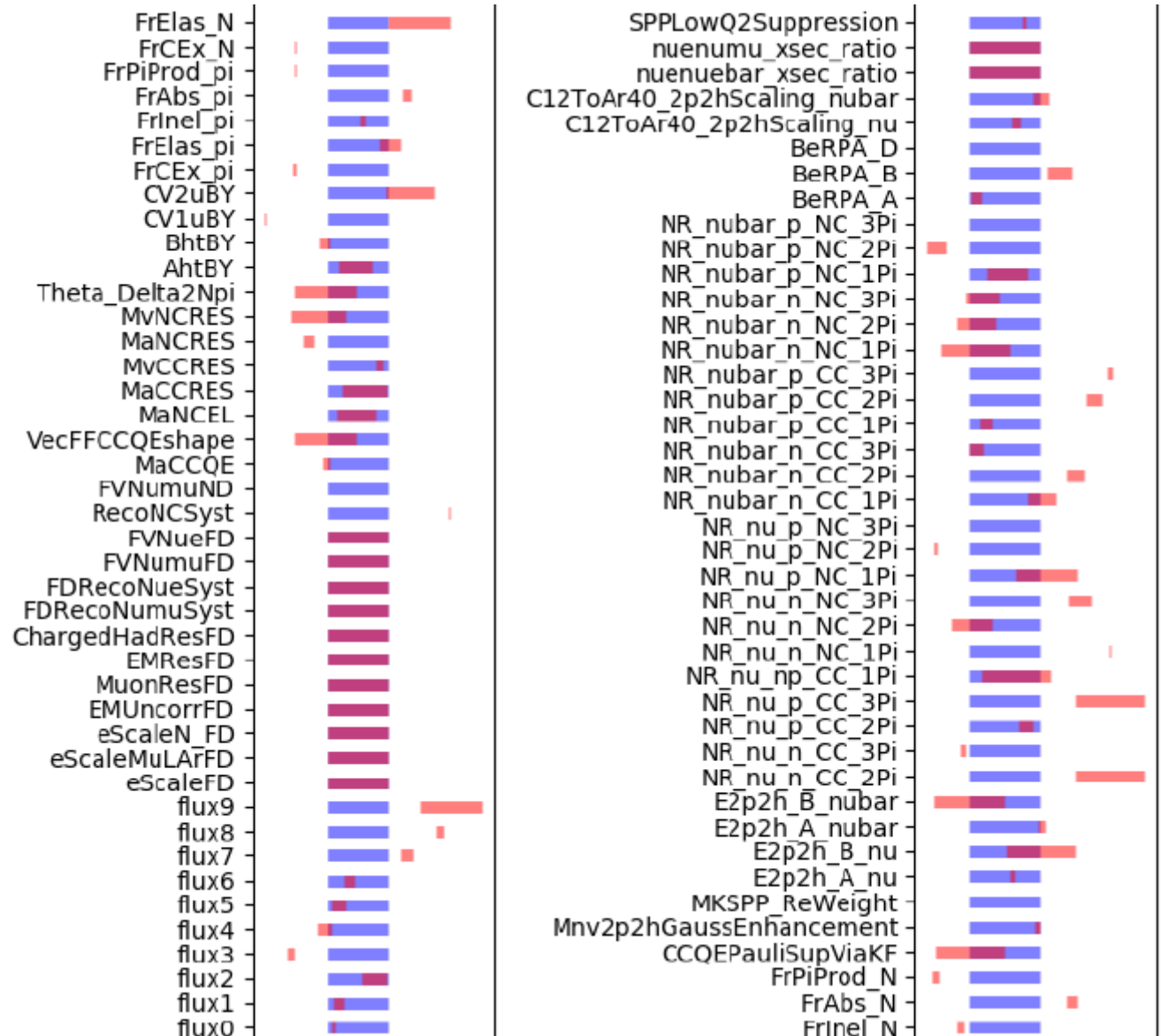


$$\delta = 0.33\pi$$



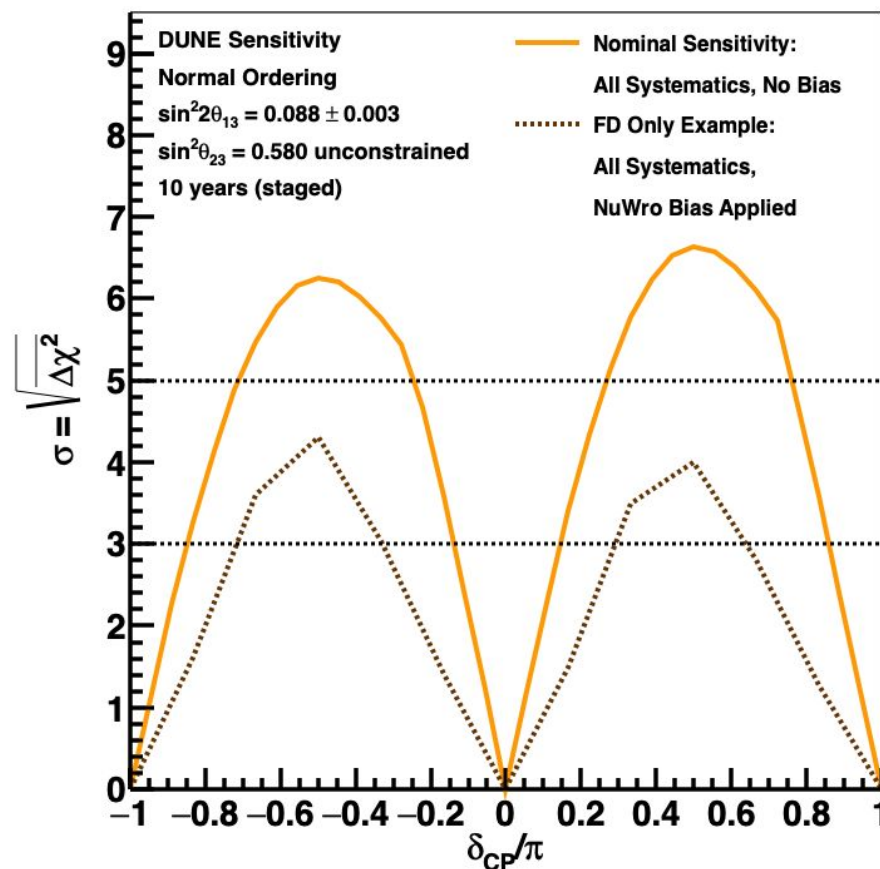
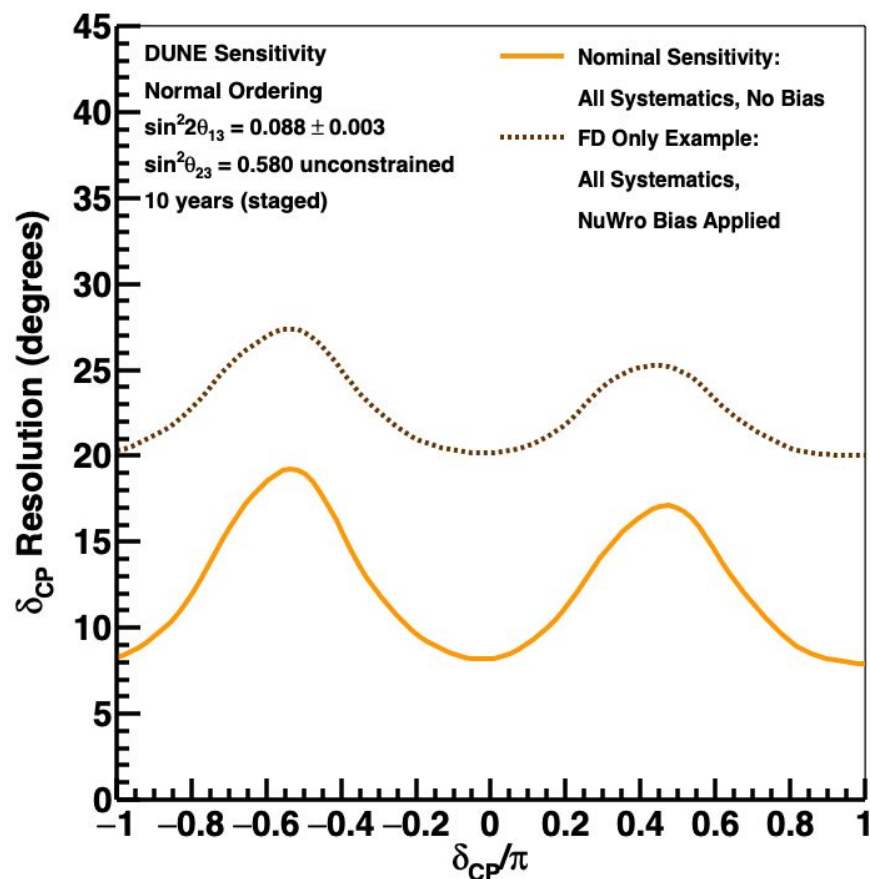

# ND+FD fit $\chi^2 = 10879.2$

- Post-fit parameter uncertainties are shown as red bands
- Parameters get pulled way outside their pre-fit ranges, with tiny constraints
- Fit to ND data is terrible – we would definitely know there is a problem, although we do not yet show how we would fix it



# Sensitivities with bias applied

CP Violation Sensitivity



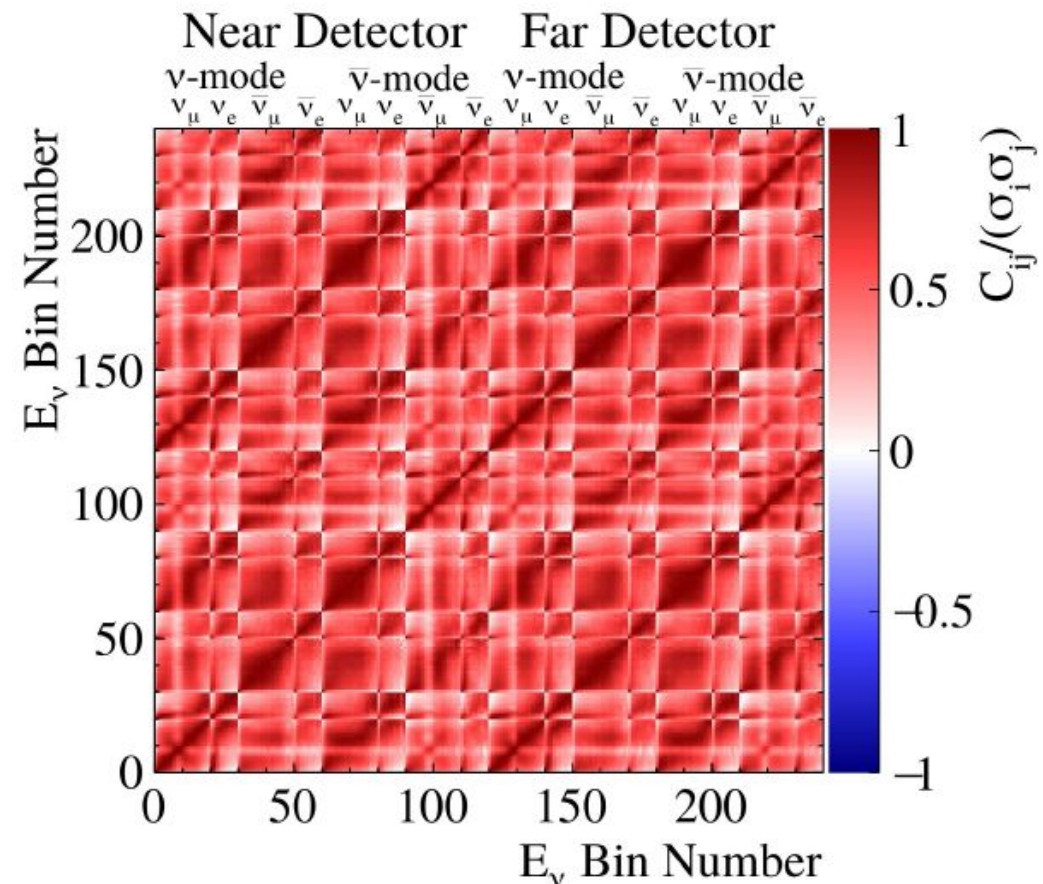
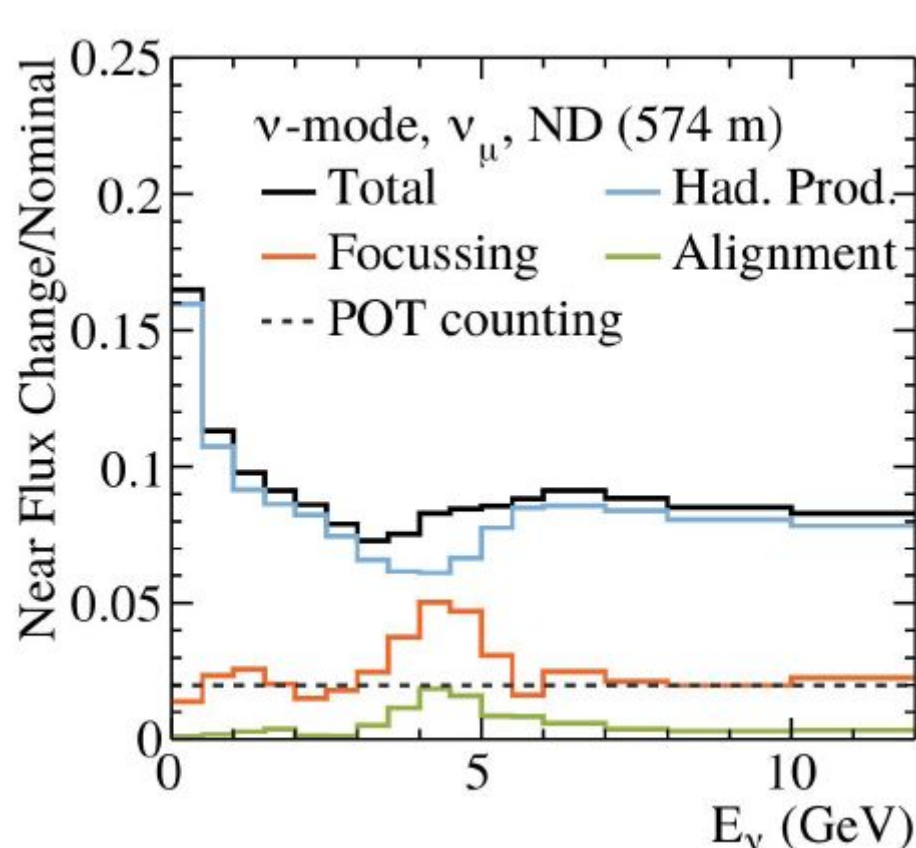
# Creating mock data samples

- Historically it is difficult to produce alternate samples
- Must re-run entire simulation chain, pseudo-reconstruction, etc. to produce analyzable files
- Cris Vilela's tool makes this process very straightforward: see next talk

# Backups

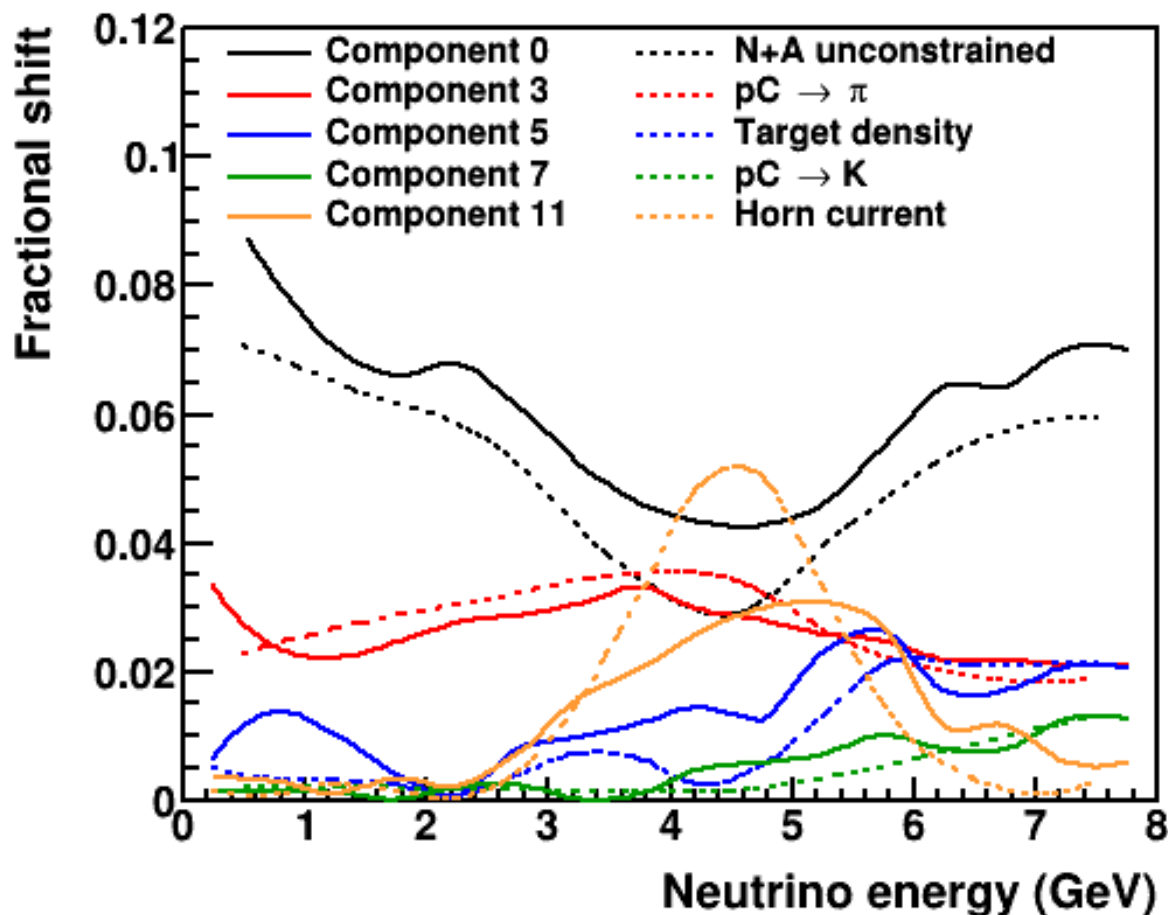
# Flux uncertainties

- Flux uncertainties due to hadron production, beam focusing, and alignment are evaluated, including strong correlations between bins, beam modes, neutrino flavors





# Principal component analysis is used to improve performance



- The largest HP & focusing uncertainties show up as principal components of the full covariance matrix
- Validates that our mathematical trick to diagonalize the uncertainty captures the same physics as varying individual parameters

# Cross section uncertainties

MaCCQE  
VecFFCCQEshape  
CCQEPauliSupViaKF

MaNCEL

MaCCRES

MvCCRES

MaNCRES

MvNCRES

Theta\_Delta2Npi

AhtBY

BhtBY

CV1uBY

CV2uBY

FrCEx\_pi

FrElas\_pi

FrInel\_pi

FrAbs\_pi

FrPiProd\_pi

FrCEx\_N

FrElas\_N

FrInel\_N

FrAbs\_N

FrPiProd\_N

Mnv2p2hGaussEnhancement

MKSPP\_ReWeight

E2p2h\_A\_nu

E2p2h\_B\_nu

E2p2h\_A\_nubar

E2p2h\_B\_nubar

BeRPA\_A

BeRPA\_B

BeRPA\_D

C12ToAr40\_2p2hScaling\_nu

C12ToAr40\_2p2hScaling\_nubar

nuenuebar\_xsec\_ratio

nuenumu\_xsec\_ratio

SPPLowQ2Suppression

NR\_nu\_n\_CC\_2Pi

NR\_nu\_n\_CC\_3Pi

NR\_nu\_p\_CC\_2Pi

NR\_nu\_p\_CC\_3Pi

NR\_nu\_np\_CC\_1Pi

NR\_nu\_n\_NC\_1Pi

NR\_nu\_n\_NC\_2Pi

NR\_nu\_n\_NC\_3Pi

NR\_nu\_p\_NC\_1Pi

NR\_nu\_p\_NC\_2Pi

NR\_nu\_p\_NC\_3Pi

NR\_nubar\_n\_CC\_1Pi

NR\_nubar\_n\_CC\_2Pi

NR\_nubar\_n\_CC\_3Pi

NR\_nubar\_p\_CC\_1Pi

NR\_nubar\_p\_CC\_2Pi

NR\_nubar\_p\_CC\_3Pi

NR\_nubar\_n\_NC\_1Pi

NR\_nubar\_n\_NC\_2Pi

NR\_nubar\_n\_NC\_3Pi

NR\_nubar\_p\_NC\_1Pi

NR\_nubar\_p\_NC\_2Pi

NR\_nubar\_p\_NC\_3Pi

# GENIE ReWeight

MaCCQE  
VecFFCCQEshape  
CCQEPauliSupViaKF  
MaNCEL  
MaCCRES  
MvCCRES  
MaNCRES  
MvNCRES  
Theta\_Delta2Npi  
AhtBY  
BhtBY  
CV1uBY  
CV2uBY  
FrCEX\_pi  
FrElas\_pi  
FrInel\_pi  
FrAbs\_pi  
FrPiProd\_pi  
FrCEX\_N  
FrElas\_N  
FrInel\_N  
FrAbs\_N  
FrPiProd\_N

**GENIE reweight parameters affecting**  
**CC quasi-elastic**  
**CC resonance production**  
**CC deep inelastic scattering**  
**Final-state interactions**  
**Neutral currents**

# DUNEint not covered in GENIE

**Additional parameters:**

**CC QE**

**CC Resonance**

**2p2h**

**Scaling  $C \rightarrow Ar$**

**$\nu_e/\nu_\mu$  or  $\nu_e/\bar{\nu}_e$**

Mnv2p2hGaussEnhancement

MKSPP\_ReWeight

E2p2h\_A\_nu

E2p2h\_B\_nu

E2p2h\_A\_nubar

E2p2h\_B\_nubar

BeRPA\_A

BeRPA\_B

BeRPA\_D

C12ToAr40\_2p2hScaling\_nu

C12ToAr40\_2p2hScaling\_nubar

nuenuebar\_xsec\_ratio

nuenumu\_xsec\_ratio

SPPLowQ2Suppression

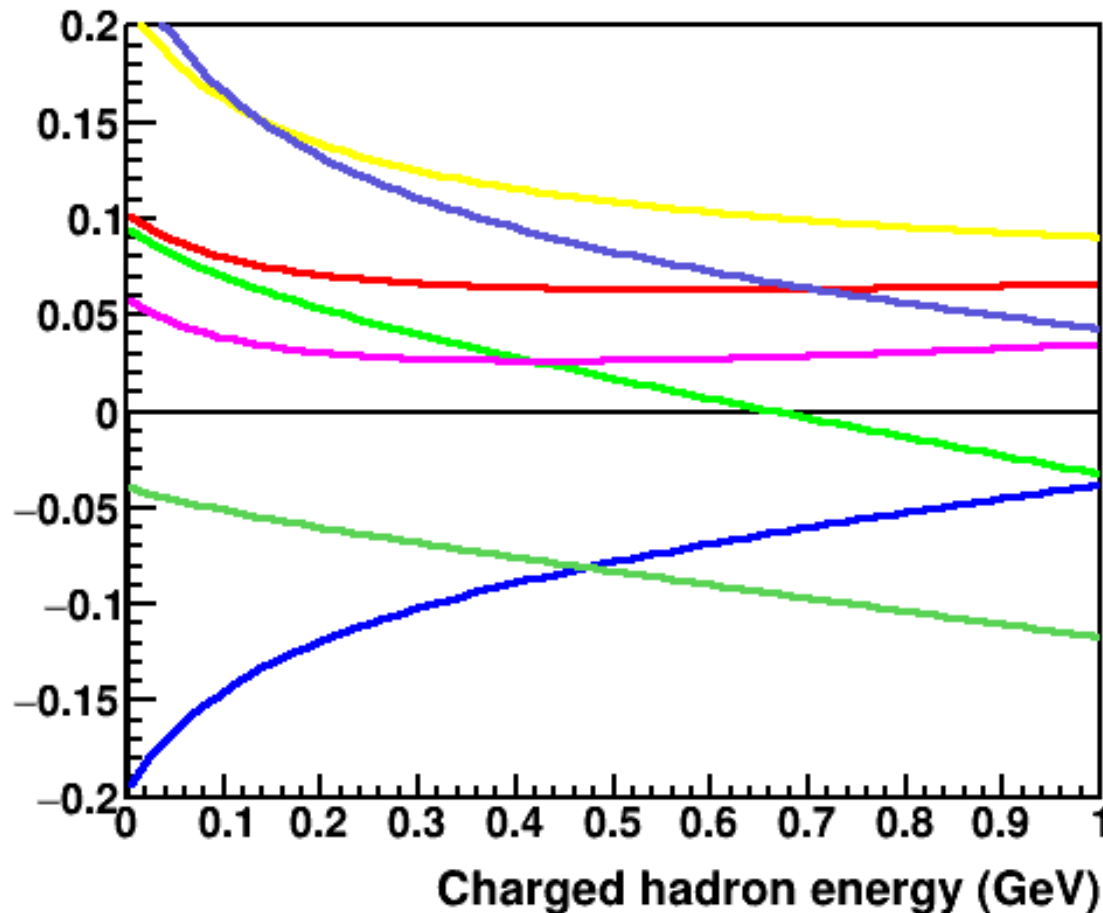
# DUNEint not covered in GENIE

## Additional parameters affecting non-resonant pion production

NR\_nu\_n\_CC\_2Pi  
NR\_nu\_n\_CC\_3Pi  
NR\_nu\_p\_CC\_2Pi  
NR\_nu\_p\_CC\_3Pi  
NR\_nu\_np\_CC\_1Pi  
NR\_nu\_n\_NC\_1Pi  
NR\_nu\_n\_NC\_2Pi  
NR\_nu\_n\_NC\_3Pi  
NR\_nu\_p\_NC\_1Pi  
NR\_nu\_p\_NC\_2Pi  
NR\_nu\_p\_NC\_3Pi  
NR\_nubar\_n\_CC\_1Pi  
NR\_nubar\_n\_CC\_2Pi  
NR\_nubar\_n\_CC\_3Pi  
NR\_nubar\_p\_CC\_1Pi  
NR\_nubar\_p\_CC\_2Pi  
NR\_nubar\_p\_CC\_3Pi  
NR\_nubar\_n\_NC\_1Pi  
NR\_nubar\_n\_NC\_2Pi  
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NR\_nubar\_p\_NC\_2Pi  
NR\_nubar\_p\_NC\_3Pi

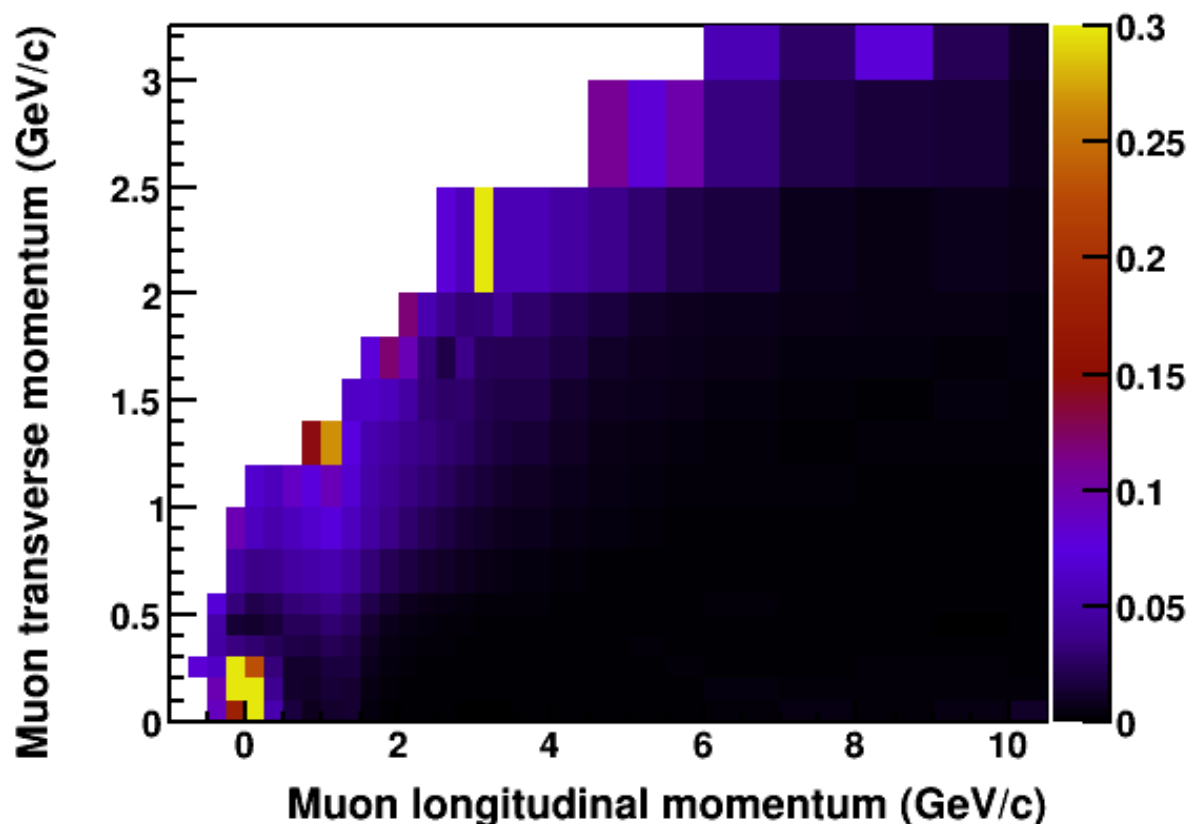


# Example: charged hadron response



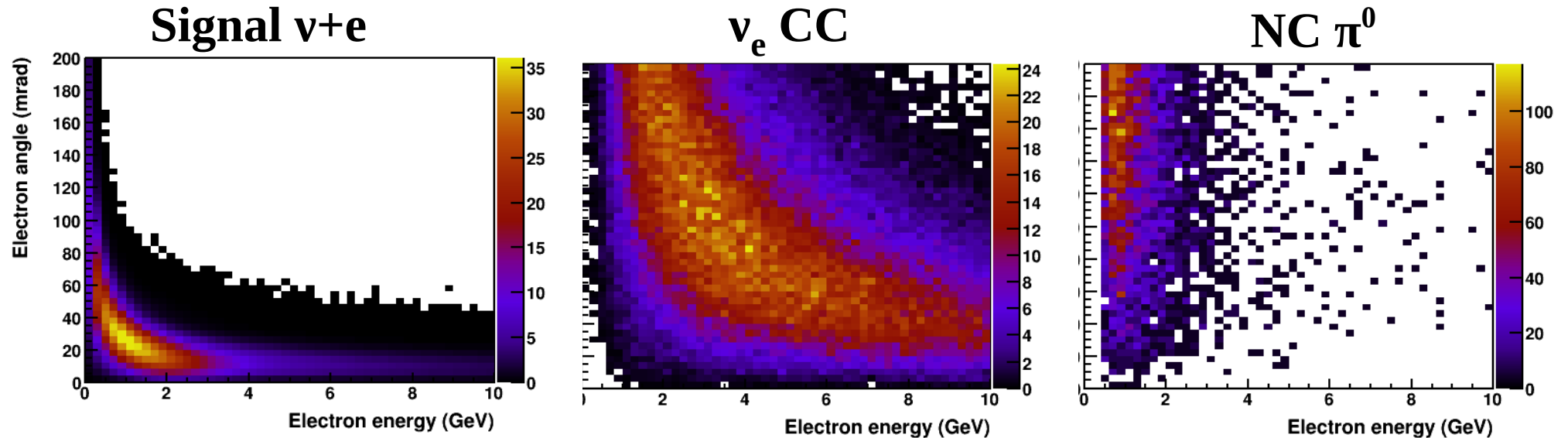
- Each curve represents the energy response bias in a particular universe, where the parameters have been chosen randomly consistent with the energy-dependent uncertainty

# ND CC $\nu_\mu$ acceptance fractional uncertainty



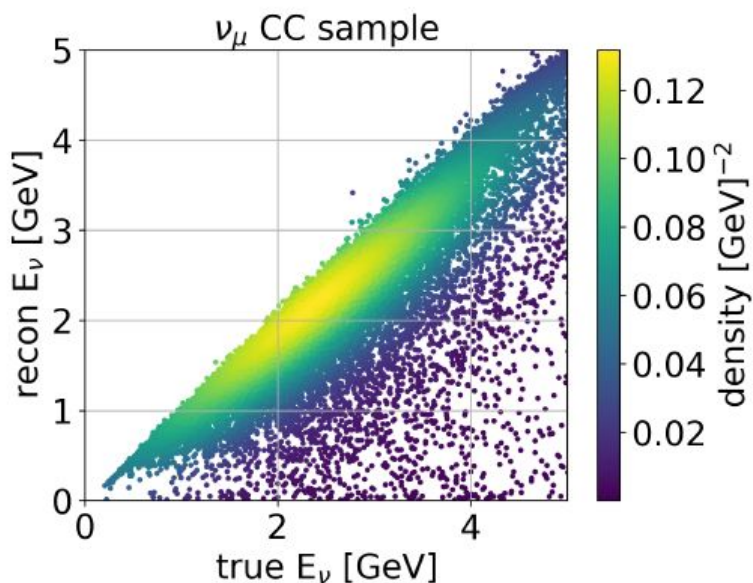
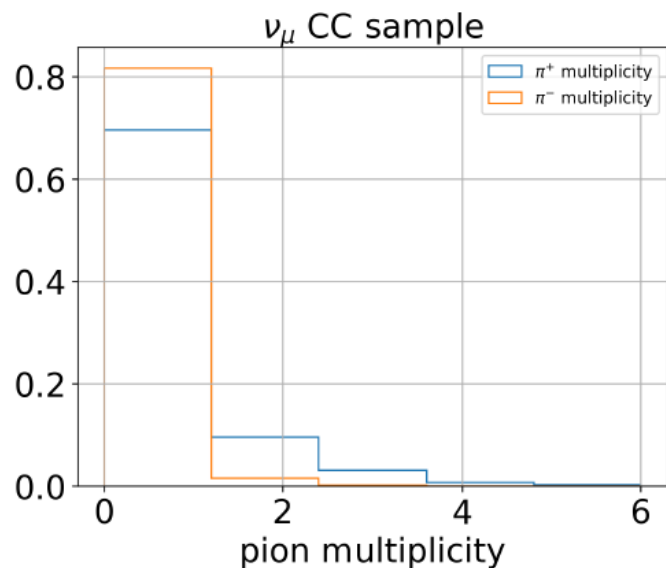
- CC events are rejected when
  - Muon is reconstructed as  $\pi^\pm$  (low energy)
  - Muon exits sides
  - Muon exits downstream but does not enter gas TPC
- Acceptance is sensitive to detector modeling in phase space where muon acceptance is rapidly changing
- Uncertainty is evaluated as a function of muon momentum in transverse and neutrino direction (equivalently, energy and angle)

# Additional LAr sample: $\nu$ +e scattering



- Pure EW process with known cross section  $\rightarrow$  sensitive to flux only
- Signal is subject to kinematic constraint  $E_e \theta_e^2 < 2m_e$
- Dominant background is  $\nu_e$  CC at low  $Q^2$
- Signal and background samples are ready, but have yet to be included in fit

# Additional sample: Gas TPC



- Leverage low threshold, excellent PID of gas TPC, with very different detector systematics
- Binned in reconstructed  $E_\nu$  separately for  $\text{CC}0\pi$ ,  $1\pi$ ,  $>2\pi$
- Complements LAr TPC by constraining some cross section parameters that are hard to access with dense LAr